



#1  
W. Lauer  
8/28/03

PTO/SB/01 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, responses are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> <b>(37 CFR 1.63)</b>  <input type="checkbox"/> Declaration Submitted with Initial Filing    OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Attorney Docket Number</b>	025684.113-US
	<b>First Named Inventor</b>	Steve Sheppard
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	09/526,100-Conf. #9168
	<b>Filing Date</b>	March 15, 2000
	<b>Art Unit</b>	2756
	<b>Examiner Name</b>	Not Yet Assigned

**As the below named inventor, I hereby declare that:**  
My residence, mailing address, and citizenship are as stated below next to my name.  
I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

OPTICAL CONVERSION DEVICE  
(Title of the Invention)

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the specification of which  
☐ is attached hereto  
OR  
☒ was filed on 03/15/2000 as United States Application Number or PCT International Application No.  
09/526,100 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

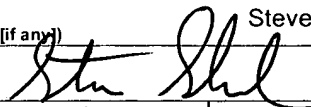
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

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**DECLARATION — Utility or Design Patent Application**

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Name				
Address				
City		State		ZIP
Country		Telephone		Fax
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.				
NAME OF SOLE OR FIRST INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname		
Steve		Sheppard		
Inventor's Signature 			Date MAY 8, 2003	
Residence: City Sebastopol		State CA	Country United States of America	Citizenship US
Mailing Address:	322 Wilson Road			
City Sebastopol		State CA	ZIP 95472	Country United States of America
NAME OF SECOND INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname		
A. J.		McInnis		
Inventor's Signature			Date	
Residence: City Santa Rosa		State CA	Country United States of America	Citizenship
Mailing Address:	3709 Espresso Court			
City Santa Rosa		State CA	ZIP 95403	Country United States of America
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.				

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<b>DECLARATION</b>				<b>ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1</b>	
<b>Name of Additional Joint Inventor, if any:</b>			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Charles		Family Name or Surname	
Eldering					
Inventor's Signature			Date		
Residence: City		Doylestown		State	
PA		United States of America		Citizenship	
US					
Mailing Address:		16 Washington Square			
City		Doylestown		State	
PA		ZIP		18901	
United States of America				Country	
<b>Name of Additional Joint Inventor, if any:</b>			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Thomas		Family Name or Surname	
Eames					
Inventor's Signature			Date		
Residence: City		Penn Grove		State	
CA		United States of America		Citizenship	
US					
Mailing Address:		4350 Oakridge Road			
City		Penn Grove		State	
CA		ZIP		94951	
United States of America				Country	
<b>Name of Additional Joint Inventor, if any:</b>			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])				Family Name or Surname	
Inventor's Signature			Date		
Residence: City				State	
		Country		Citizenship	
Mailing Address:					
City		State		ZIP	
				Country	
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		Country		Citizenship	
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Attorney Docket Number 025684.113-US

First Named Inventor Steve Sheppard

**COMPLETE IF KNOWN**

Application Number 09/526,100-Conf. #9168

Filing Date March 15, 2000

Art Unit 2756

Examiner Name Not Yet Assigned

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(Title of the Invention)

the specification of which

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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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or Bar Code Label

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OR



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Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:



A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any])

Steve

Family Name  
or Surname

Sheppard

Inventor's  
Signature

Date

Residence: City

Sebastopol

State

CA

Country

United States of America

Citizenship

US

Mailing  
Address:

7170 Lynch Road

City

Sebastopol

State

CA

ZIP

95472

Country

United States of America

NAME OF SECOND INVENTOR:



A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any])

A. J.

Family Name  
or Surname

McInnis

Inventor's  
Signature

Date

Residence: City

Santa Rosa

State

CA

Country

United States of America

Citizenship

Date

14 May, 2003

Mailing  
Address:

3709 Espresso Court

City

Santa Rosa

State

CA

ZIP

95403

Country

United States of America

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Given Name (first and middle (if any))		Charles		Family Name or Surname	
Eldering					
Inventor's Signature			Date		
Doylestown		PA		United States of America	
Residence: City		State		Citizenship	
US					
Mailing Address: 315 Hedgerow Lane					
Doylestown		PA		United States of America	
City		State		Country	
18901		ZIP			
Name of Additional Joint Inventor, if any:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))		Thomas		Family Name or Surname	
Eames					
Inventor's Signature			Date		
Santa Rosa		CA		United States of America	
Residence: City		State		Citizenship	
US					
Mailing Address: 5206 Pressley Road					
Santa Rosa		CA		United States of America	
City		State		Country	
95404		ZIP			
Name of Additional Joint Inventor, if any:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))		Family Name or Surname			
Inventor's Signature			Date		
Residence: City		State		Country	
				Citizenship	
Mailing Address:					
City		State		Country	
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Given Name (first and middle (if any))		Family Name or Surname			
Inventor's Signature			Date		
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Mailing Address:					
City		State		Country	



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	<b>First Named Inventor</b>	Steve Sheppard
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	09/526,100-Conf. #9168
	<b>Filing Date</b>	March 15, 2000
	<b>Art Unit</b>	2756
	<b>Examiner Name</b>	Not Yet Assigned

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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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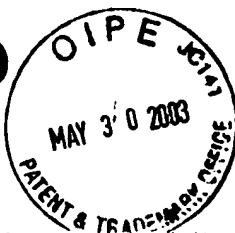
**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to:		<input checked="checked" type="checkbox"/> Customer Number or Bar Code Label	26853	OR	<input type="checkbox"/> Correspondence address below
<b>Name</b>					
<b>Address</b>					
<b>City</b>		<b>State</b>		<b>Zip</b>	
<b>Country</b>		<b>Telephone</b>		<b>Fax</b>	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
<b>NAME OF SOLE OR FIRST INVENTOR:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<b>Given Name</b> (first and middle [if any])			<b>Family Name</b> or Surname		
Steve			Sheppard		
<b>Inventor's Signature</b>				<b>Date</b>	
<b>Residence: City</b>		<b>State</b>	<b>Country</b>	<b>Citizenship</b>	
Sebastopol		CA	United States of America	US	
<b>Mailing Address:</b>	322 Wilson Road				
<b>City</b>	<b>State</b>	<b>ZIP</b>	<b>Country</b>		
Sebastopol	CA	95472	United States of America		
<b>NAME OF SECOND INVENTOR:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<b>Given Name</b> (first and middle [if any])			<b>Family Name</b> or Surname		
A. J.			McInnis		
<b>Inventor's Signature</b>				<b>Date</b>	
<b>Residence: City</b>		<b>State</b>	<b>Country</b>	<b>Citizenship</b>	
Santa Rosa		CA	United States of America		
<b>Mailing Address:</b>	3709 Espresso Court				
<b>City</b>	<b>State</b>	<b>ZIP</b>	<b>Country</b>		
Santa Rosa	CA	95403	United States of America		
<input checked="checked" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					



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Name of Additional Joint Inventor, if any:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Charles		Family Name or Surname	
		Eldering			
Inventor's Signature			Date		
<i>Charles Eldering</i>			May 19 <sup>th</sup> , 2003		
Residence: City		State		Country	
Doylestown		PA		United States of America	
				Citizenship	
				US	
Mailing Address: 16 Washington Square					
City		State		ZIP	
Doylestown		PA		18901	
				Country	
				United States of America	
Name of Additional Joint Inventor, if any:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Thomas		Family Name or Surname	
		Eames			
Inventor's Signature			Date		
Residence: City		State		Country	
Penn Grove		CA		United States of America	
				Citizenship	
				US	
Mailing Address: 4350 Oakridge Road					
City		State		ZIP	
Penn Grove		CA		94951	
				Country	
				United States of America	
Name of Additional Joint Inventor, if any:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Family Name or Surname			
Inventor's Signature			Date		
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NAME OF SOLE OR FIRST INVENTOR:

☐

A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any])

Steve

Family Name  
or Surname

Sheppard

Inventor's  
Signature

Date

Residence: City

Sebastopol

CA  
StateUnited States of America  
Country

Citizenship

US

Mailing  
Address:

322 Wilson Road

City

Sebastopol

CA  
State

ZIP

95472

Country

United States of America

NAME OF SECOND INVENTOR:

☐

A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any])

A. J.

Family Name  
or Surname

McInnis

Inventor's  
Signature

Date

Residence: City

Santa Rosa

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StateUnited States of America  
Country

Citizenship

Mailing  
Address:

3709 Espresso Court

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Santa Rosa

CA  
State

ZIP

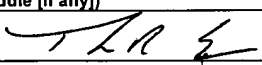
95403

Country

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Inventor's Signature		Date	
Residence: City Doylestown	State PA	Country United States of America	Citizenship US
Mailing Address: 16 Washington Square			
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Given Name (first and middle (if any)) Thomas		Family Name or Surname Eames	
Inventor's Signature 		Date 5/12/03	
Residence: City Pennngrove	State CA	Country United States of America	Citizenship US
Mailing Address: 4350 Oakridge Road			
City Pennngrove	State CA	ZIP 94951	Country United States of America
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Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address:			
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address:			
City	State	ZIP	Country



Docket No.: 025684.113-US  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#10  
W. Lauer  
8/28/03

In re Patent Application of:  
Steve Sheppard, et al.

Application No.: 09/526,100

Group Art Unit: 2611

Filed: March 15, 2000

Examiner: Net Yet Assigned

For: OPTICAL CONVERSION DEVICE

Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
JUN 02 2003  
Technology Center 2600

**REQUEST TO CORRECT INVENTORSHIP**

Sir:

Applicants respectfully request correction of inventorship in the above-captioned patent application pursuant to 35 U.S.C. § 116 and 37 C.F.R. §1.48(a). The application currently identifies the inventors as Steve Sheppard and A.J. McInnis. The application inadvertently and incorrectly failed to identify Thomas Eames and Charles Eldering as inventors also.

Applicants respectfully request correction of inventorship to add Thomas Eames and Charles Eldering as inventors, in addition to Steve Sheppard and A.J. McInnis. Messrs. Eames and Eldering were inadvertently omitted in the original filings for this application. Their omission as inventors arose without any deceptive intent by any party.

Messrs. Sheppard, McInnis, Eames, and Eldering have assigned their entire right, title, and interest in and to the invention described and claimed in the above-captioned application to Next Level Communication, Inc. Next Level Communications, Inc., consents to the addition of Messrs. Eames and Eldering as inventors and has authorized me to grant such consent on its behalf.

\* \* \*

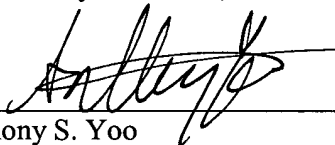
If the undersigned attorney can be of any assistance in advancing prosecution, please call him at (202) 662-6000.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 50-0740.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 025684.113-US. A duplicate copy of this paper is enclosed.

Dated: May 30, 2003

Respectfully submitted,

By   
Anthony S. Yoo

Registration No.: 45,540  
COVINGTON & BURLING  
1201 Pennsylvania Avenue, N.W.  
Washington, DC 20004-2401  
(202) 662-6000  
Attorneys for Applicants



Docket No.: 025684.113-US  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Washington, D.C. 20231

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STATEMENT UNDER 37 C.F.R. § 1.48(a)(2)

Sir:

I, Charles Eldering, state that the error in inventorship that resulted in my omission from identification as an inventor in the above-captioned patent application occurred without any without any deceptive intent on my part. I respectfully ask that inventorship in the application be corrected to include me as an inventor.

All statements made herein are of my own knowledge and true. I understand that that these statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18, United States Code, and that willful false statements may jeopardize the validity of this patent.

Dated: May 19, 2003

By Charles Eldering  
Charles Eldering



Docket No.: 025684.113-US  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Steve Sheppard, et al.

Application No.: 09/526,100

Group Art Unit: 2611

Filed: March 15, 2000

Examiner: Net Yet Assigned

For: OPTICAL CONVERSION DEVICE

Commissioner for Patents  
Washington, D.C. 20231

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JUN 02 2003

Technology Center 2600


STATEMENT UNDER 37 C.F.R. § 1.48(a)(2)

Sir:

I, Thomas Eames, state that the error in inventorship that resulted in my omission from identification as an inventor in the above-captioned patent application occurred without any without any deceptive intent on my part. I respectfully ask that inventorship in the application be corrected to include me as an inventor.

All statements made herein are of my own knowledge and true. I understand that that these statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18, United States Code, and that willful false statements may jeopardize the validity of this patent.

Dated: May 12, 2003

By   
Thomas Eames